

4/16/01  
U.S. PTOPlease type a plus sign (+) inside this box → PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))*

Attorney Docket No.

First Inventor or Application Identifier Franck LE

Title METHOD AND APPARATUS FOR CLASSIFYING IP DATA

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  \* Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Specification [Total Pages 21]
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (*if filed*)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
4. Oath or Declaration [Total Pages ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
*(for continuation/divisional with Box 16 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

J1033 U.S. PTO

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**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 C.F.R. § 3.73(b) Statement  Power of  
*(when there is an assignee)*  Attorney
9.  English Translation Document (*if applicable*)
10.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
13.  \* Small Entity Statement(s)  Statement filed in prior application (PTO/SB/09-12)  Status still proper and desired
14.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
15.  Other: Credit Card Payment Form  
Request and Certification Under 35 USC 122(b)(2)(B)(i)

16. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ / \_\_\_\_\_

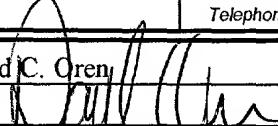
Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		020457		or <input type="checkbox"/> Correspondence address below	
<i>(Insert Customer No. or Attach bar code label here)</i>					
Name					
Address					
City		State	Zip Code		
Country		Telephone	Fax		

Name (Print/Type)	David C. Oren	Registration No. (Attorney/Agent)	38,694
Signature		Date	April 16, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$1,016.00)

## Complete if Known

Application Number	
Filing Date	April 16, 2001
First Named Inventor	Franck LE
Examiner Name	
Group / Art Unit	
Attorney Docket No.	017.39657X00

## METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **01-2135**Deposit Account Name **Antonelli, Terry, Stout & Kraus, LLP** Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

Payment Enclosed:

 Check     Money Order     Other

## FEE CALCULATION (continued)

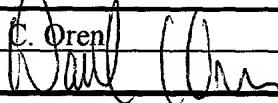
## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid		
105	130	205 65 Surcharge - late filing fee or oath	0.00		
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	0.00		
139	130	139 130 Non-English specification	0.00		
147	2,520	147 2,520 For filing a request for reexamination	0.00		
112	920*	112 920* Requesting publication of SIR prior to Examiner action	0.00		
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	0.00		
115	110	215 55 Extension for reply within first month	0.00		
116	380	216 190 Extension for reply within second month	0.00		
117	870	217 435 Extension for reply within third month	0.00		
118	1,360	218 680 Extension for reply within fourth month	0.00		
128	1,850	228 925 Extension for reply within fifth month	0.00		
119	300	219 150 Notice of Appeal	0.00		
120	300	220 150 Filing a brief in support of an appeal	0.00		
121	260	221 130 Request for oral hearing	0.00		
138	1,510	138 1,510 Petition to institute a public use proceeding	0.00		
140	110	240 55 Petition to revive - unavoidable	0.00		
141	1,210	241 605 Petition to revive - unintentional	0.00		
142	1,210	242 605 Utility issue fee (or reissue)	0.00		
143	430	243 215 Design issue fee	0.00		
144	580	244 290 Plant issue fee	0.00		
122	130	122 130 Petitions to the Commissioner	0.00		
123	50	123 50 Petitions related to provisional applications	0.00		
126	240	126 240 Submission of Information Disclosure Stmt	0.00		
581	40	581 40 Recording each patent assignment per property (times number of properties)	0.00		
146	690	246 345 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00		
149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00		
Other fee (specify) _____					
Other fee (specify) _____					
SUBTOTAL (1)	(\$1,016.00)		SUBTOTAL (3)	(\$0.00)	

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

## SUBMITTED BY

Name (Print/Type)	David C. Oren	Registration No (Attorney/Agent)	38,694	Telephone	(703) 312-6600
Signature			Date	April 16, 2001	

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Franck LE et al.
Title	Method and Apparatus for Classifying IP Data
Atty Docket Number	017.39657X00

I hereby certify that the invention disclosed in the attached application **has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing**. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

4/16/01

Date



Signature

David C. Oren

Typed or printed name

Registration No. 38,694

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**